

## Solutions: Homework 5

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1 Answer:

$$S_0 \rightarrow S_1|S_2|S_3$$

$$S_1 \rightarrow 00S_1|01S_1|11S_1|10S_1|A$$

$$S_2 \rightarrow BC$$

$$S_3 \rightarrow CB$$

$$B \rightarrow ABA|0$$

$$C \rightarrow ABA|1$$

$$A \rightarrow 0|1$$

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2.1 Answer:

It's not a CFL.

$$\text{let } \{a^n b^n c^m \mid n \leq m \leq 2n\} = L$$

Assume it's a CFL, let  $p$  be the constant mentioned in the Pumping Lemma (PL). Consider the string  $w = a^p b^p c^{2p}$ . we can split  $w$  into  $uvwyz$  satisfying all the three conditions of PL.

if  $vxy$  is contained in all a's, when we pump up, the number of a's will be larger than the number of b's. So the result is not in  $L$ .

if  $vxy$  is contained in all b's, when we pump up, the number of b's will be larger than the number of a's. So the result is not in  $L$ .

if  $vxy$  is contained in all c's, when we pump up, the number of c's will be larger than two times the number of a's. So the result is not in  $L$ .

if  $vxy$  is contained in a's and b's, when we pump up  $p$  times, the relationship  $n \leq m \leq 2n$  will no longer hold. So the result is not in  $L$ .

if  $vxy$  is contained in b's and c's, when we pump up  $p$  times, either the relationship  $n \leq m \leq 2n$  will no longer hold, or the number of a's and b's is not equal. So the result is not in  $L$ .

it's a contradiction to PL, thus  $L$  is not a CFL.

2.2 Answer:

$L$  is not a CFL. For a proof, assume  $L$  is a CFL. Let  $p$  be the number mentioned in PL. Let  $s = a^p b^p$ . Clearly  $s$  is in  $L$  and  $|s|$  is at least  $p$ . By PL, there exist  $u, v, x, y, z$  such that  $s = uvxyz$ ,  $|vxy| \leq p$ ,  $|vy| > 0$ , and  $uv^i xy^i z$  is in  $L$  for all  $i \geq 0$ . If  $vy$  consists only one type of symbol,  $uv^2 xy^2 z$  is clearly not in  $L$ , contradicting PL. if  $vy$  consists of two different symbols, suppose there are  $k$  a's in  $vy$  ( $k$  is at least 1), then the maximum number of b's in  $vy$  is  $p-k$ . considering  $uv^2 xy^2 z$ , the number of a is  $p+k$  and the maximum number of b's is  $p^2 + p - k$ .  $(p+k)^2 = p^2 + 2pk + k^2 > p^2 + p - k$ , thus the relationship  $m = n^2$  no longer hold. Thus, we have a contradiction to PL. We conclude that  $L$  is not a CFL.

### 2.3 Answer:

We observe that any string in the language is in one of the following two forms:

(1) of the form  $uvwv^R x$  where:

$u$  is from  $((a \cup b)^* \#)^*$

$v$  is from  $(a \cup b)^*$

$w$  is from  $(\#(a \cup b)^*)^* \#$

$x$  is from  $(\#(a \cup b)^*)^*$

(2) of the form  $uvx$  where  $v$  is a palindrome and  $u, x$  are as above.

In the grammar below, the variables have the following meaning:

$\langle \text{Any} \rangle$  generates  $(a \cup b)^*$ ,

$U$  generates all  $u$  as above,

$W$  generates all  $w$  as above, and

$X$  generates all  $x$  as above,

$\langle \text{Any} \rangle \rightarrow a \langle \text{Any} \rangle \mid b \langle \text{Any} \rangle \mid \varepsilon$

$U \rightarrow \langle \text{Any} \rangle \# U \mid \varepsilon$

$W \rightarrow \# \langle \text{Any} \rangle W \mid \varepsilon$

$X \rightarrow \# \langle \text{Any} \rangle X \mid \varepsilon$

$S_1$  generates desirable strings of the first form:

$S_1 \rightarrow UZX$

$Z \rightarrow aZa \mid bZb \mid W$

$S_2$  generates desirable strings of the second form:

$S_2 \rightarrow UPX$

$P \rightarrow aPa \mid bPb \mid a \mid b \mid \varepsilon$

Finally, start symbol  $S$  generates  $s_1$  or  $s_2$ :

$S \rightarrow S_1 \mid S_2$

### 2.4 Answer:

$L$  is not a CFL. For a proof, assume  $L$  is a CFL. Let  $p$  be the number mentioned in PL. Let  $s = 1^p 0^p \# 1^p 0^{p-1} 1$ . Clearly  $s$  is in  $L$  and  $|s|$  is at least  $p$ . By PL, there exist  $u, v, x, y, z$  such that  $s = uvxyz$ ,  $|vxy| \leq p$ ,  $|vy| > 0$ , and  $uv^i xy^i z$  is in  $L$  for all  $i \geq 0$ . The following is a list of all possible cases: (1)  $vxy$  lies entirely before the  $\#$  symbol, (2)  $vxy$  lies entirely after the  $\#$  symbol, (3)  $vy$  contains  $\#$ , and (4)  $v$  contains one or more of the trailing 0's of the first number and  $y$  contains one or more of the leading 1's of the second number. In all of these cases,  $uv^2 xy^2 z$  is clearly not in  $L$ , contradicting PL. We conclude that  $L$  is not a CFL.

### 2.5 Answer:

This language  $L$  is a CFL.

Here is an informal justification. Any string in  $(a \cup b)^*$  can be split into a sequence of "blocks", where each block is a string from  $a^* b^*$ . By definition of  $L$ , a string is in  $L$  if and

only if one of the following three conditions is true: (1) some block has a different number of  $a$ 's and  $b$ 's, or (2) some block has a different number of  $a$ 's than some other block, or (3) the number of blocks is different from the number of  $a$ 's in the first block. The PDA for  $L$  splits initially into three incarnations, call them  $M_1, M_2$ , and  $M_3$ .  $M_1$  checks for Condition 1,  $M_2$  checks for Condition 2, and  $M_3$  checks for Condition 3. They work as follows.

$M_1$  nondeterministically picks a block to check. If that block has an unequal number of  $a$ 's and  $b$ 's, it enters accept state and eats up the rest of the input while remaining in the accept state.

$M_2$  nondeterministically chooses one block, stacks up the  $a$ 's in that block, and then nondeterministically chooses any one later block and checks if the  $a$ 's in this block do not tally with the  $a$ 's already stored on the stack. If they don't tally, it enters accept state and eats up the rest of the input while remaining in the accept state.

$M_3$  stacks up the  $a$ 's of the first block and pops one " $a$ " for each block that it encounters. To verify that the number of blocks is unequal to the number of  $a$ 's in the first block, it lets one incarnation enter the accept state whenever the stack is nonempty. When in accept state, the machine does not consume any input.

### 3

3 Answer:

$S_0 \rightarrow A$

$A \rightarrow BAB|B|\varepsilon$

$B \rightarrow 00|\varepsilon$

**Remove  $B \rightarrow \varepsilon$ :**

$S_0 \rightarrow A$

$A \rightarrow BAB|AB|BA|A|B|\varepsilon$

$B \rightarrow 00$

**Remove  $A \rightarrow \varepsilon$ :**

$S_0 \rightarrow A|\varepsilon$

$A \rightarrow BAB|AB|BA|A|B$

$B \rightarrow 00$

**Remove  $A \rightarrow B$ :**

$S_0 \rightarrow A|\varepsilon$

$A \rightarrow BAB|AB|BA|A|00$

$B \rightarrow 00$

**Remove  $A \rightarrow A$ :**

$S_0 \rightarrow BAB|AB|BA|BB|00|\varepsilon$

$A \rightarrow BAB|AB|BA|BB|00$

$B \rightarrow 00$

We use the another the variables  $CC$  to replace every occurrence of  $00$ : And we replace  $BAB$  with  $BD$

$S_0 \rightarrow BD|AB|BA|CC|BB|\varepsilon$

$D \rightarrow AB$

$A \rightarrow BD|AB|BA|BB$

$B \rightarrow CC$

$C \rightarrow 0$

## 4

### 4.1 Answer:

Assume that the complexity of grammar  $G$  is  $c$ . Now let us follow Theorem 2.6 step by step:

Remove all the  $\varepsilon$  rules. We know that for each of the removed rule  $A \rightarrow \varepsilon$ , we have to create  $2^X$  more rules, given  $X$  is the number of times  $A$  appearing in right-hand side of each rule. Thus, the complexity is now  $O(2^c)$ .

The next step is to remove the unit rules. Note that there are at most  $|V|^2$  units rules. Removing one unit rule at most add the same size of new rules to the set. Thus, the complexity grows up to  $O(2^c * |V|^2)$ .

Finally, replace all the rules whose right-hand size is bigger than 2. Notice that for each rule, we add at most constant number of rules into this set (more exactly, the number of new rules is the length of the right-hand side -1). Thus, the complexity is still  $O(2^c * |V|^2)$ .

### 4.2 Answer: Following the proof of Lemma 2.15 from Sipser's book, we consider the rules separately:

- For those rules  $A_{pp} \rightarrow \varepsilon$ , the complexity is  $|Q| * 3$ .
  - For those rules  $A_{pq} \rightarrow A_{pr}A_{rq}$ , the complexity is  $|Q|(|Q| - 1)(|Q| - 2) * 4$
  - For those rules  $A_{pq} \rightarrow aA_{rs}b$ , the complexity is  $|Q||Q| - 1||\gamma||\Sigma|^2|Q| - 2||Q| - 3| * 5$
- Note:**  $|Q|, |Q| - 1, |Q| - 2, |Q| - 3|$  correspond to the all possible choices of  $p, q, r, s$ . Also  $|\Gamma|$  is there because that any variable  $t \in \gamma$  can be the first character to be pop or pushed.  $|\Sigma|^2$  is caused by all the possible choices in the two transition functions  $\delta(p, a, \varepsilon), \delta(s, b, t), a, b \in \Sigma$ . However, in this question,  $|\Gamma|$  and  $|\Sigma|$  are constants, so we can ignore them when computing the upperbound.

The total complexity is

$$|Q|(|Q| - 1)(|Q| - 2) * 4 + |Q|(|Q| - 1)(|Q| - 2) * 4 + |Q||Q| - 1||\gamma||\Sigma|^2|Q| - 2||Q| - 3| * 5 = O(|Q|^4)$$

### 4.3 Answer:

A natural choice for the set of variables is the  $n$  states in the  $DFA(M) = \{Q, \Sigma, \delta, q_0, F\}$ . We can construct the CFG by the following rules.

- For each state  $q_i \in Q$ , we create a variable  $A_{q_i}$ .
- For every transition function  $\delta(q_i, a) = q_j$ , we create the rule  $A_{q_i} \rightarrow aA_{q_j}$ .
- For those final states  $q_i \in F$ , we add the rules  $A_{q_i} \rightarrow \varepsilon$ .

The complexity of our CFG is  $|Q||\Sigma| * 4 + |F| * 3 = O(|Q| + |F|) = O(|Q|) = O(n)$ .  
 $|\Sigma|$  can be ignored as the question assumes a constant-sized alphabet.

## 5

### 5.1 Answer:

#### **First derivation**

$\langle \text{STMT} \rangle \rightarrow$   
 $\langle \text{IF-THEN} \rangle \rightarrow$   
 if condition then  $\langle \text{STMT} \rangle \rightarrow$   
 if condition then  $\langle \text{IF-THEN} \rangle \rightarrow$   
 if condition then if condition then  $\langle \text{STMT} \rangle$  else  $\langle \text{STMT} \rangle \rightarrow$   
 if condition then if condition then  $\langle \text{ASSIGN} \rangle$  else  $\langle \text{ASSIGN} \rangle \rightarrow$   
 if condition then if condition then  $a := 1$  else  $a := 1$ .

#### **Second derivation**

$\langle \text{STMT} \rangle \rightarrow$   
 $\langle \text{IF-THEN-ELSE} \rangle \rightarrow$   
 if condition then  $\langle \text{STMT} \rangle$  else  $\langle \text{STMT} \rangle \rightarrow$   
 if condition then  $\langle \text{IF-THEN} \rangle$  else  $\langle \text{STMT} \rangle \rightarrow$   
 if condition then if condition then  $\langle \text{STMT} \rangle$  else  $\langle \text{STMT} \rangle \rightarrow$   
 if condition then if condition then  $\langle \text{ASSIGN} \rangle$  else  $\langle \text{ASSIGN} \rangle \rightarrow$   
 if condition then if condition then  $a:=1$  else  $a:=1$ .

### 5.2 Answer:

$\langle \text{STMT1} \rangle \rightarrow \langle \text{ASSIGN} \rangle | \langle \text{IF-THEN} \rangle | \langle \text{IF-THEN-ELSE} \rangle | \langle \text{BEGIN-END1} \rangle$   
 $\langle \text{STMT2} \rangle \rightarrow \langle \text{ASSIGN} \rangle | \langle \text{IF-THEN-ELSE} \rangle | \langle \text{BEGIN-END2} \rangle$   
 $\langle \text{IF-THEN} \rangle \rightarrow$  if condition then  $\langle \text{STMT1} \rangle$   
 $\langle \text{IF-THEN-ELSE} \rangle \rightarrow$  if condition then  $\langle \text{STMT2} \rangle$  else  $\langle \text{STMT1} \rangle$   
 $\langle \text{BEGIN-END1} \rangle \rightarrow$  begin  $\langle \text{STMT-LIST1} \rangle$  end  
 $\langle \text{BEGIN-END2} \rangle \rightarrow$  begin  $\langle \text{STMT-LIST2} \rangle$  end  
 $\langle \text{STMT-LIST1} \rangle \rightarrow \langle \text{STMT-LIST1} \rangle \langle \text{STMT1} \rangle | \langle \text{STMT1} \rangle$   
 $\langle \text{STMT-LIST2} \rangle \rightarrow \langle \text{STMT-LIST2} \rangle \langle \text{STMT2} \rangle | \langle \text{STMT2} \rangle$   
 $\langle \text{ASSIGN} \rangle \rightarrow a:=1$