

1. Let  $\Sigma = \{0, 1\}$ . Consider the following classes of languages over the alphabet  $\Sigma$ :

- $A = \{L \subseteq \Sigma^* : L \text{ can be recognized by an NFA}\}$
- $B = \{L \subseteq \Sigma^* : L \text{ can be generated by a regular expression}\}$
- $C = \{L \subseteq \Sigma^* : L \text{ is decidable}\}$
- $D = \{L \subseteq \Sigma^* : L \text{ can be recognized by a PDA}\}$
- $E = \{L \subseteq \Sigma^* : \text{both } L \text{ and } \Sigma^* - L \text{ can be recognized by PDAs}\}$
- $F = \{L \subseteq \Sigma^* : L \text{ can be generated by a CFG in which every rule is of the form } A \rightarrow aB \text{ or else } A \rightarrow \varepsilon, \text{ where } A, B \text{ are variables and } a \text{ is a terminal of the CFG}\}$
- $G = \{L \subseteq \Sigma^* : L \text{ can be generated by a CFG in which every rule is of the form } A \rightarrow BC \text{ or else } A \rightarrow a \text{ or else } S \rightarrow \varepsilon, \text{ where } A, B, C \text{ are variables, } S \text{ is the start variable and } a \text{ is a terminal of the CFG}\}$

1.1. Describe, in a one-line chain, all of the proper subset and equality relationships between the classes  $A, B, C, D, E, F$  and  $G$ . For example, your answer might look like this:  $A \subset F \subset B = G \subset C = D = E$ . Here “ $\subset$ ” means “is a proper subset of.”

[5 points]

**Solution:**  $A = B = F \subset E \subset D = G \subset C$ .

$A = B$  was proved in class;  $B = F$  follows from HW5, #4.2;  $F \subseteq E$  follows because regular languages are closed under complement and regular languages are context-free;  $E \subseteq D$  is trivial;  $D = G$  follows from our theorem about Chomsky normal form CFGs;  $G \subseteq C$  follows because an NDTM can simulate a PDA.

1.2. For every proper subset relationship you indicated above, give an example of a language that proves the inequality of the corresponding classes. For example, if you wrote “ $B \subset C$ ” then give an example of a language in  $C$  but not in  $B$ , and so on. Keep in mind that  $\Sigma = \{0, 1\}$ .

[10 points]

**Solution:** Here are some examples that work:

$F \subset E$ :  $\{0^n 1^n : n \geq 0\}$  is in  $E$  but not in  $F$ .

$E \subset D$ :  $\Sigma^* - \{ww : w \in \Sigma^*\}$  is in  $D$  but not in  $E$ .

$G \subset C$ :  $\{ww : w \in \Sigma^*\}$  is in  $C$  but not in  $G$ .

2. Recall that a *configuration* of a Turing Machine  $(Q, \Sigma, \Gamma, \delta, q_0, q_{\text{acc}}, q_{\text{rej}})$  is a string in  $\Gamma^* Q \Gamma^*$  (or equivalently, a string in  $(Q \cup \Gamma)^*$  that contains exactly one symbol from  $Q$ ). The string encodes certain pieces of information about the TM in the middle of a run.

2.1. Suppose  $\Sigma = \{0, 1\}$  and  $q_5 \in Q$ . Exactly what information is encoded by the configuration “1011 $q_5$ 010”?

[5 points]

**Solution:** The given configuration tells us that

- the TM is in state  $q_5$ ,
- the tape contents are “1011010” followed by an infinite number of blanks, and
- the head is currently over the second ‘0’.

2.2. Give a complete formal definition of what it means for a configuration  $C_1$  of a TM to *yield* a configuration  $C_2$ . Make sure you cover all cases.

[10 points]

**Solution:** We say that  $C_1$  yields  $C_2$  if at least one of the following conditions holds:

- $C_1 = uaqbv, C_2 = uacrv$  and  $\delta(q, b) = (r, c, R)$
- $C_1 = uaqbv, C_2 = uracv$  and  $\delta(q, b) = (r, c, L)$
- $C_1 = qbv, C_2 = crv$  and  $\delta(q, b) = (r, c, R)$
- $C_1 = qbv, C_2 = rcv$  and  $\delta(q, b) = (r, c, L)$

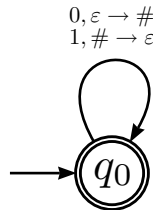
for some  $a, b \in \Gamma, u, v \in \Gamma^*$  and  $q, r \in Q$ .

3. For a string  $x \in \{0, 1\}^*$ , let  $N_0(x)$  and  $N_1(x)$  denote the number of 0s and 1s in  $x$ , respectively. Draw a PDA for the following language (keep it simple!):

$$\{x \in \{0, 1\}^* : \text{every prefix } w \text{ of } x \text{ satisfies } N_0(w) \geq N_1(w)\}.$$

[10 points]

**Solution:**



4. Suppose  $A$  is a Turing-recognizable language over the alphabet  $\Sigma$  and  $B$  is a decidable language over  $\Sigma$ . Give an informal high-level description of a Turing machine that recognizes  $A - B$ . You do not have to prove that your construction is correct.

[10 points]

**Solution:** Let  $M_A$  be a recognizer TM for  $A$  and  $M_B$  a decider TM for  $B$ . We construct a recognizer 2-tape TM  $M$  for  $A - B$  as follows:

$M =$  "On input  $x$ :

1. Copy  $x$  onto tape 2 and reset both heads to their leftmost positions.
2. Run  $M_B$  using tape 2; if it accepts, then REJECT.
3. Run  $M_A$  using tape 1; if it accepts, then ACCEPT.
4. If we reach here, then REJECT."

$M$  accepts a string iff  $M_B$  rejects it and then  $M_A$  accepts it, as required.

An interesting observation is that it is perfectly okay to run  $M_A$  first!

5. For a language  $L$  over alphabet  $\Sigma$ , define

$$\text{HALF}(L) = \{x \in \Sigma^* : \exists y \in \Sigma^* (|x| = |y| \text{ and } xy \in L)\}.$$

Throughout this section, let  $A = \{a^m b^n c^n \# \# d^{3n} : m, n \geq 0\}$ ; thus,  $A$  is a language over the alphabet  $\{a, b, c, d, \#\}$ . Also, throughout this section, you may use without proof any facts proved in class, provided you clearly state what fact(s) you are using.

5.1. Specify a CFG for  $A$ . No explanation is necessary.

[5 points]

**Solution:** The following CFG generates  $A$ :

$$\begin{aligned} S &\longrightarrow TU \\ T &\longrightarrow aTb \mid \varepsilon \\ U &\longrightarrow cUddd \mid \#\# \end{aligned}$$

5.2. Specify the following language as simply as possible in set notation:

$$\text{HALF}(A) \cap a^* b^* c^* \#.$$

No explanation is necessary. For an example of how a language is specified in set notation, see the definition of  $A$  above.

[5 points]

**Solution:**  $\{a^n b^n c^n \# : n \geq 0\}$ .

5.3. Your answer above should look very similar to a language we have studied in class. Examine it carefully. Based on it, what can you conclude about the closure of the class of context-free languages under the operation HALF? Prove your answer.

[10 points]

**Solution:** Context-free languages are *not* closed under HALF. The language  $A$  provides a counterexample. We gave a CFG for it in #5.1, so  $A$  is context-free. However,  $\text{HALF}(A)$  is not context-free. Here's a proof.

Suppose  $\text{HALF}(A)$  is context-free. Then  $\text{HALF}(A) \cap a^* b^* c^* \#$  must also be context-free because, as proved in class, the intersection of a CFL and a regular language is a CFL. By the result of #5.2, this means the language  $B = \{a^n b^n c^n \# : n \geq 0\}$  must be context-free.

At this point you could use the pumping lemma to get a contradiction, but there is an even simpler solution! Suppose  $G = (V, \{a, b, c, \#\}, R, S)$  is a CFG that generates  $B$ . Then, the CFG  $G' = (V \cup \{\#\}, \{a, b, c\}, R', S)$ , where  $R' = R \cup \{\#\# \rightarrow \varepsilon\}$ , clearly generates  $\{a^n b^n c^n : n \geq 0\}$ . However, as proved in class, this latter language is not context-free, so we have a contradiction.