

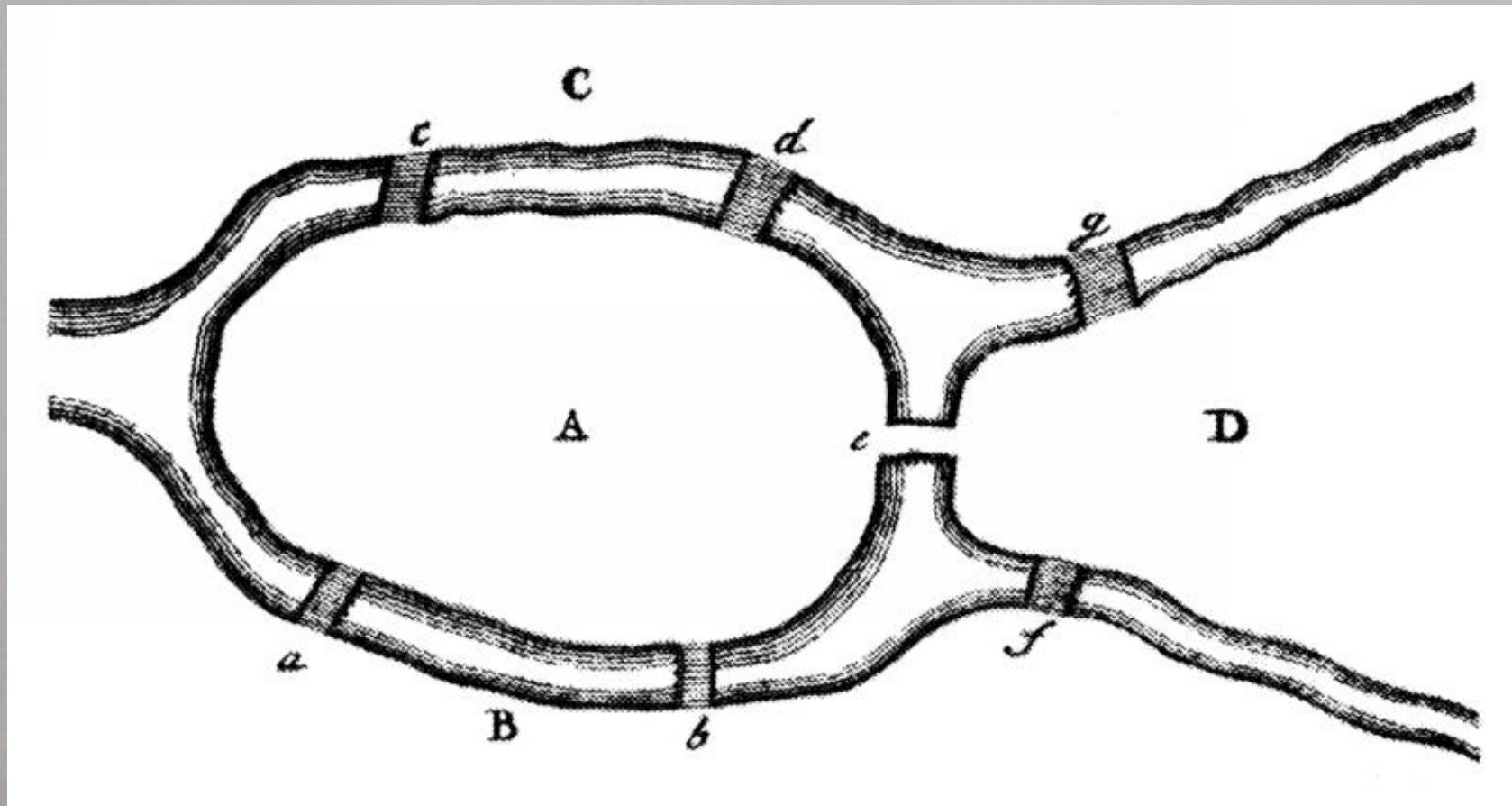
# Computational Complexity

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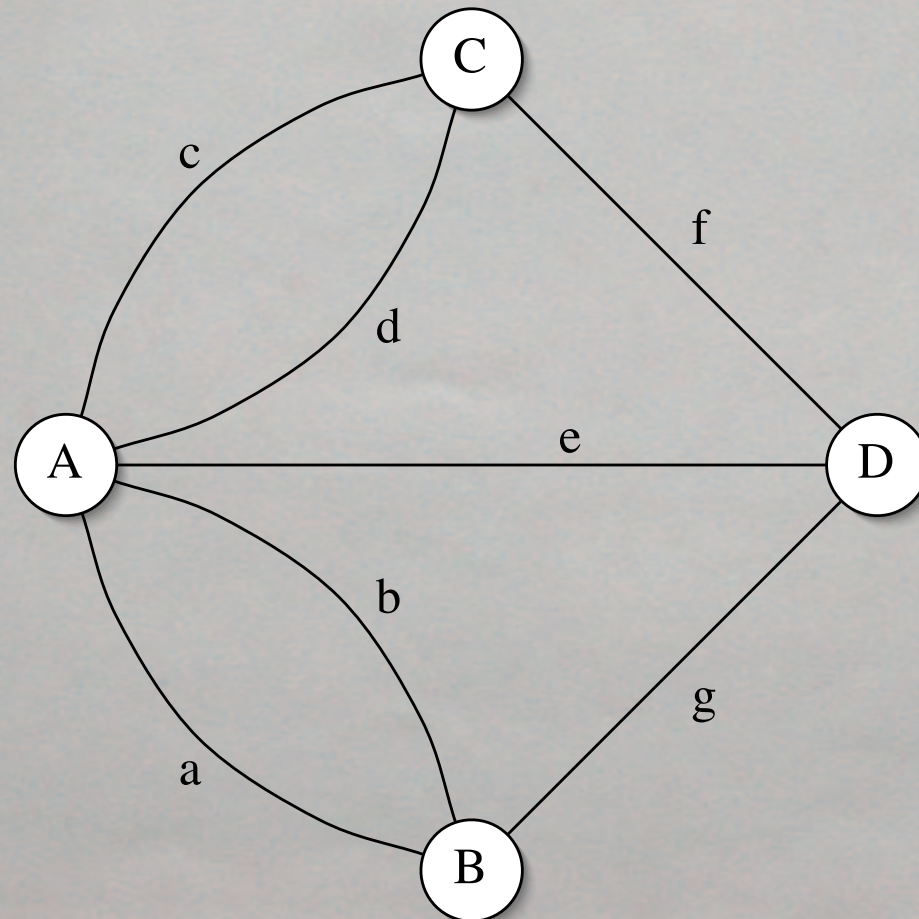
# Computational Complexity

- Why are some problems qualitatively harder than others?



# Computational Complexity

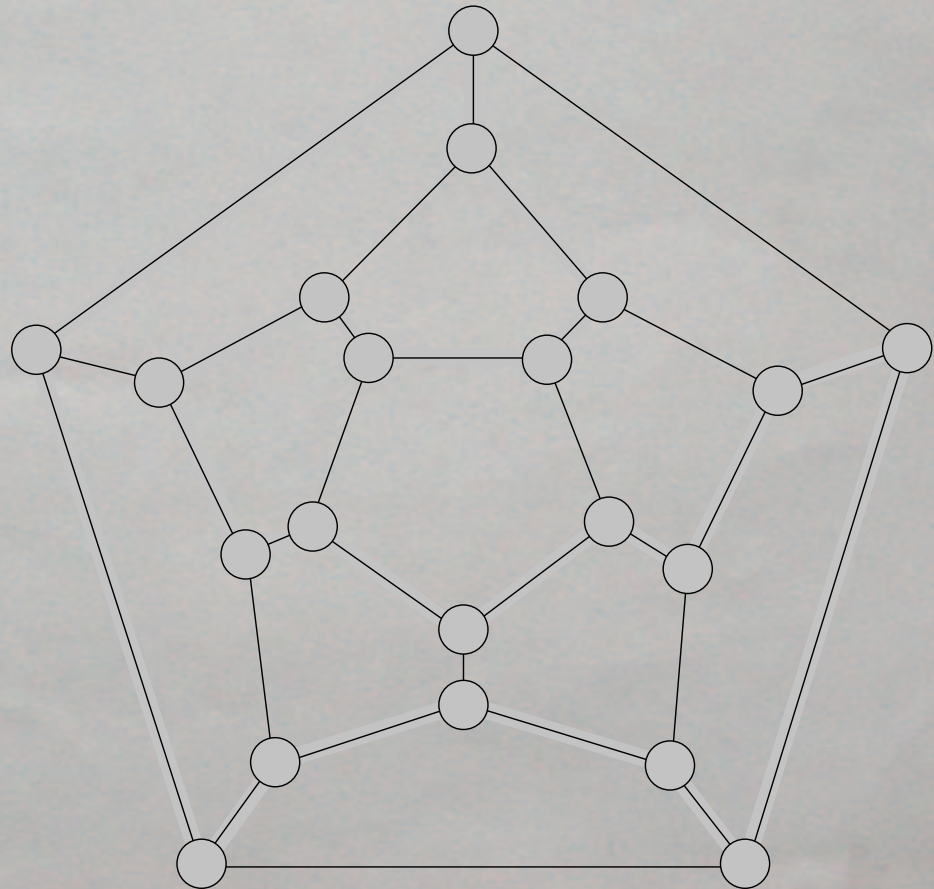
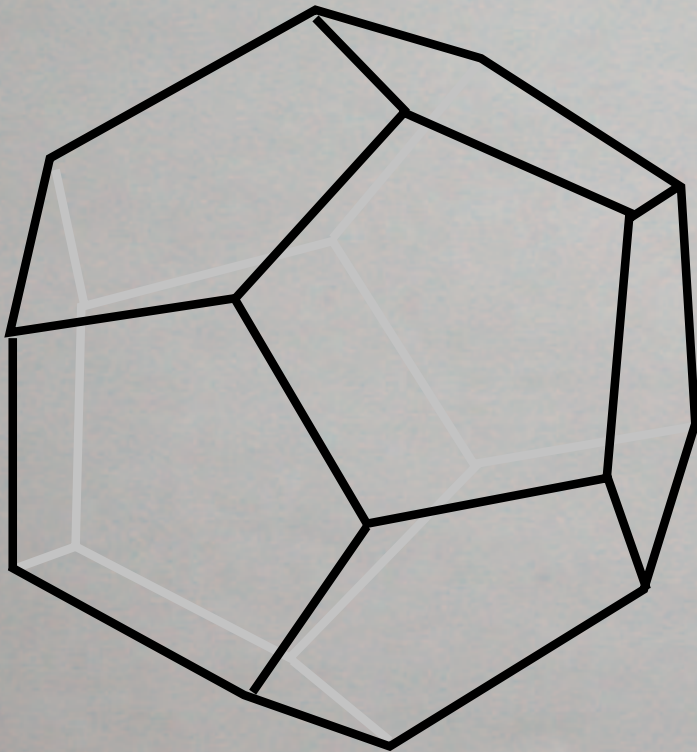
- A simple insight: at most 2 vertices can have odd degree, so no tour is possible!





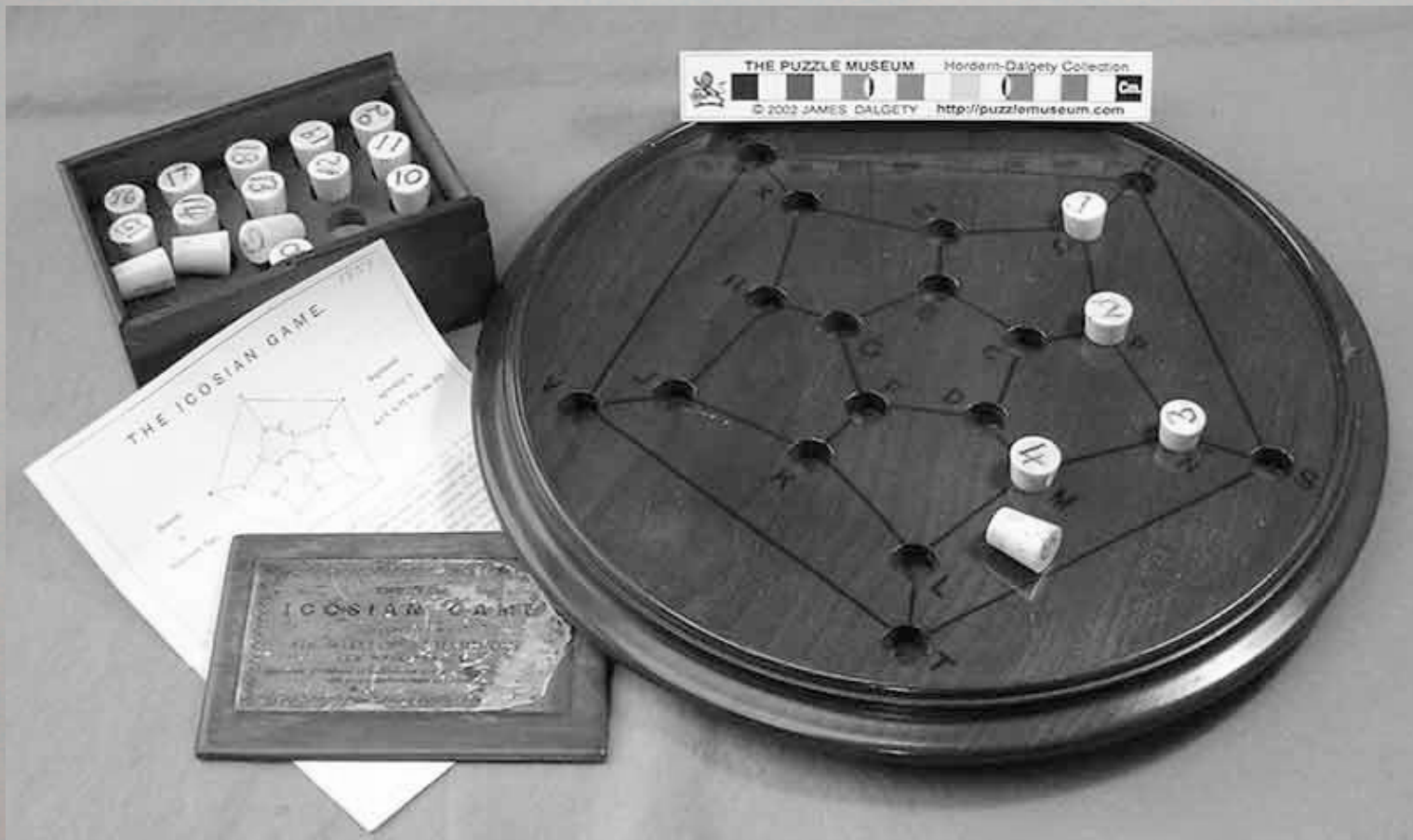
# Computational Complexity

- What if we want to visit every vertex, instead of every edge?



# Computational Complexity

- As far as we know, the only way to solve this problem is (essentially) exhaustive search!





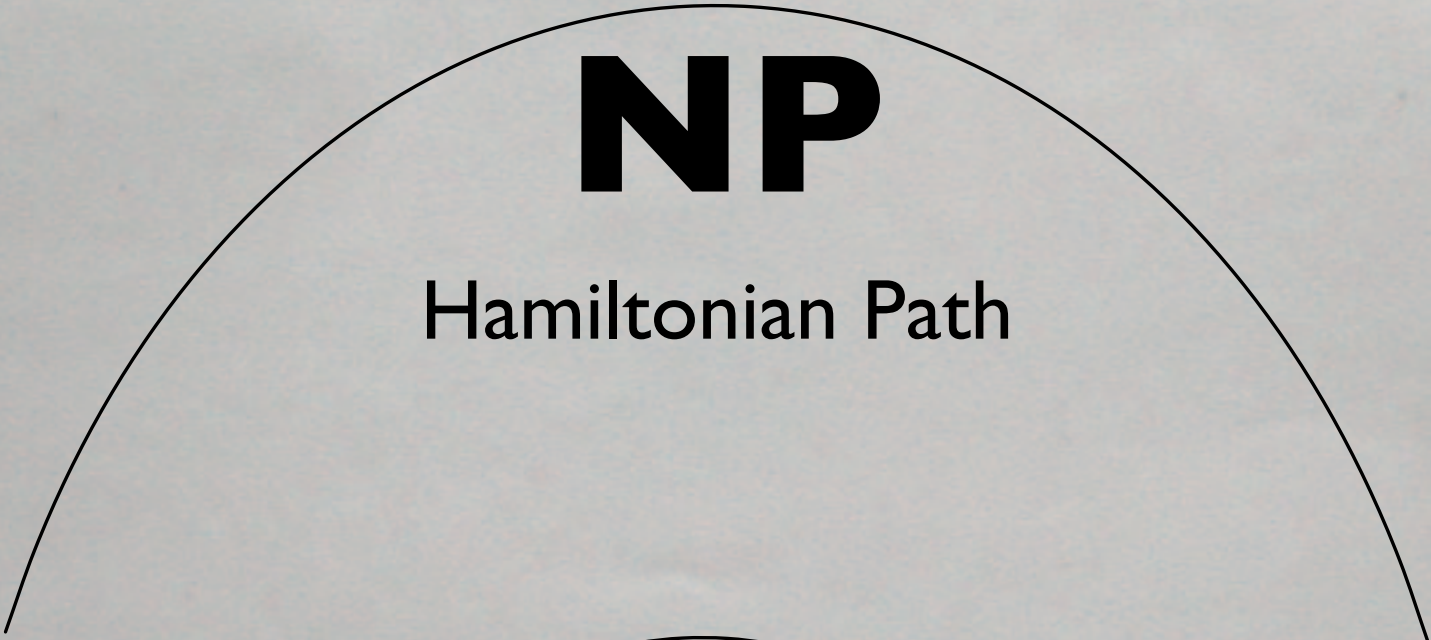
# Needles in Haystacks

- **P**: we can find a solution efficiently
- **NP**: we can *check* a solution efficiently



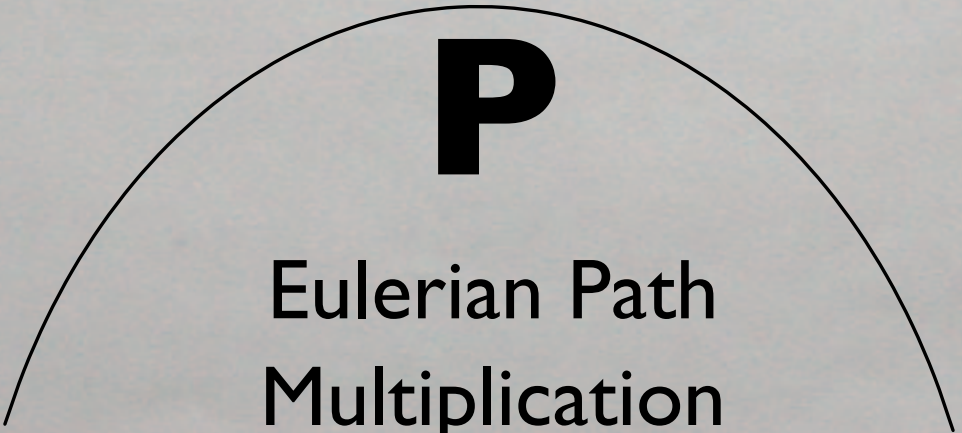


# Complexity Classes



**NP**

Hamiltonian Path



**P**

Eulerian Path  
Multiplication

# An Infinite Hierarchy

Turing's Halting Problem



**COMPUTABLE**



“Computers play the same role in complexity that clocks, trains and elevators play in relativity.”

– Scott Aaronson

**EXPTIME**

Games

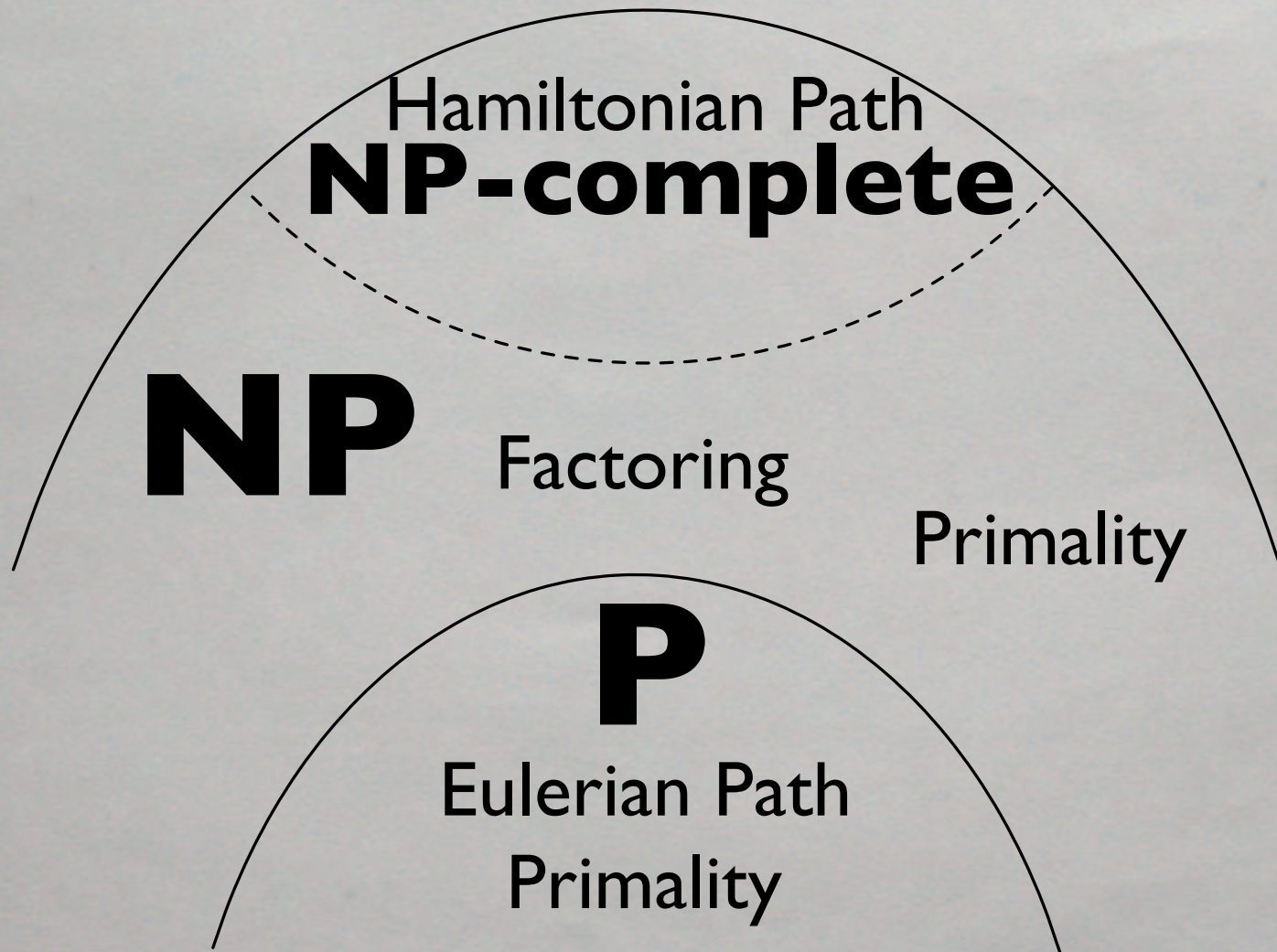
**PSPACE**

**NP**

**P**



# The Hardest of Them All



Some problems in **NP** capture the entire class!  
If we can solve any of them efficiently, then **P=NP**.

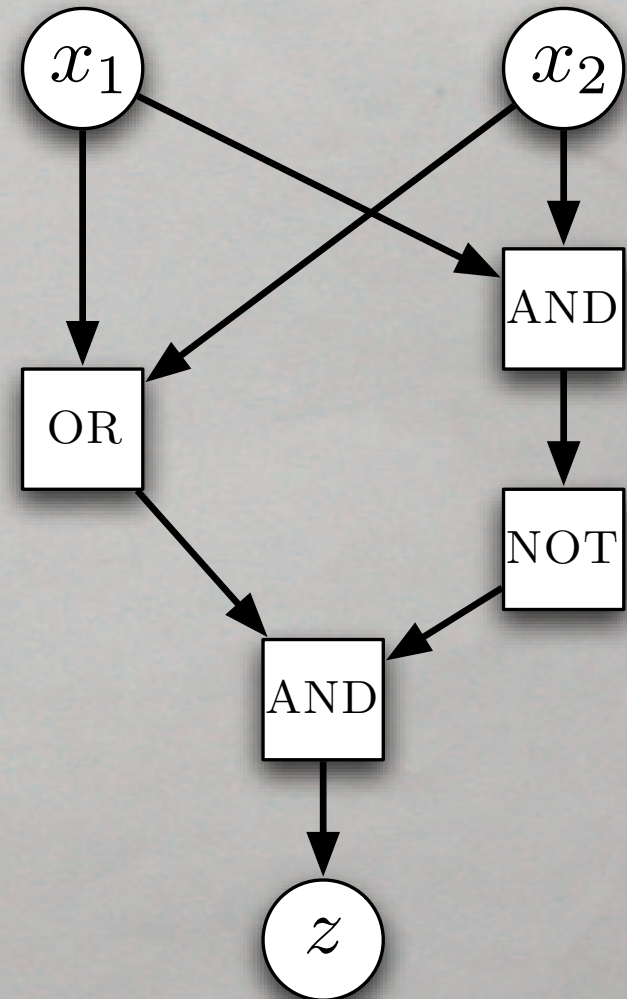


# Satisfying a Circuit

Any program that tests solutions (e.g. paths) can be “compiled” into a Boolean circuit

The circuit outputs “true” if an input solution works

Is there a set of values for the inputs that makes the output true?

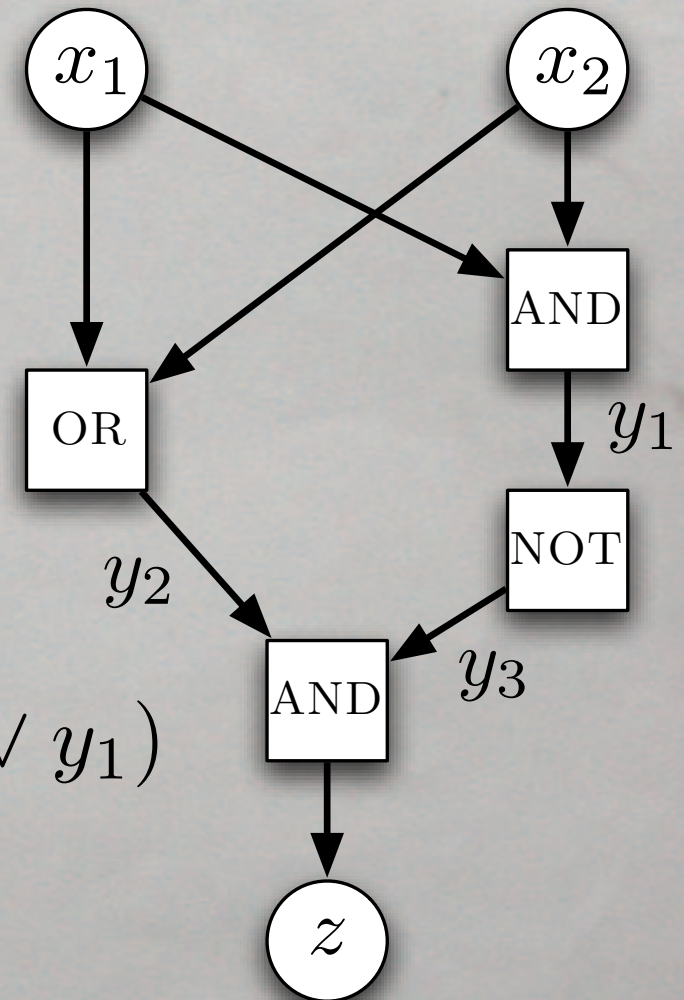




# From Circuits to Formulas

The condition that each AND or OR gate works, and the output is “true,” can be written as a Boolean formula:

$$(x_1 \vee \bar{y}_1) \wedge (x_2 \vee \bar{y}_1) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee y_1) \\ \wedge \cdots \wedge z .$$





# 3-SAT

- Our first NP-complete problem!
- Given a set of *clauses* with 3 variables each,

$$(x_1 \vee \bar{x}_2 \vee x_3) \wedge (x_2 \vee x_{17} \vee \bar{x}_{293}) \wedge \dots$$

does a set of truth values for the  $x_i$  exist such that all the clauses are satisfied?

- $k$ -SAT ( $k$  variables per clause) is NP-complete for  $k \geq 3$ .



# If 3-SAT Were Easy...

- ...we could convert any problem in NP to a circuit that tests solutions
- ...and convert that circuit to a 3-SAT formula which is satisfiable if a solution exists
- ...and use our efficient algorithm for 3-SAT to solve it!
- So, if 3-SAT is in **P**, then all of **NP** is too, and **P=NP**!



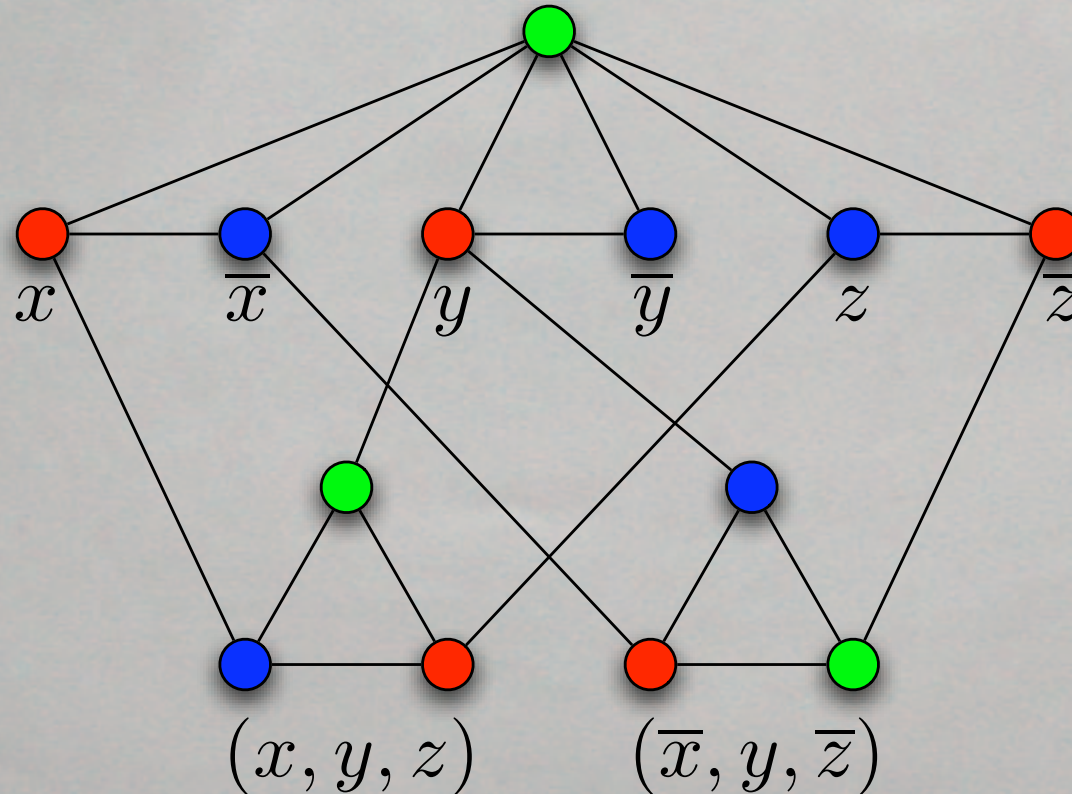
# Graph Coloring

Given a set of countries and borders between them, what is the smallest number of colors we need?



# From SAT to Coloring

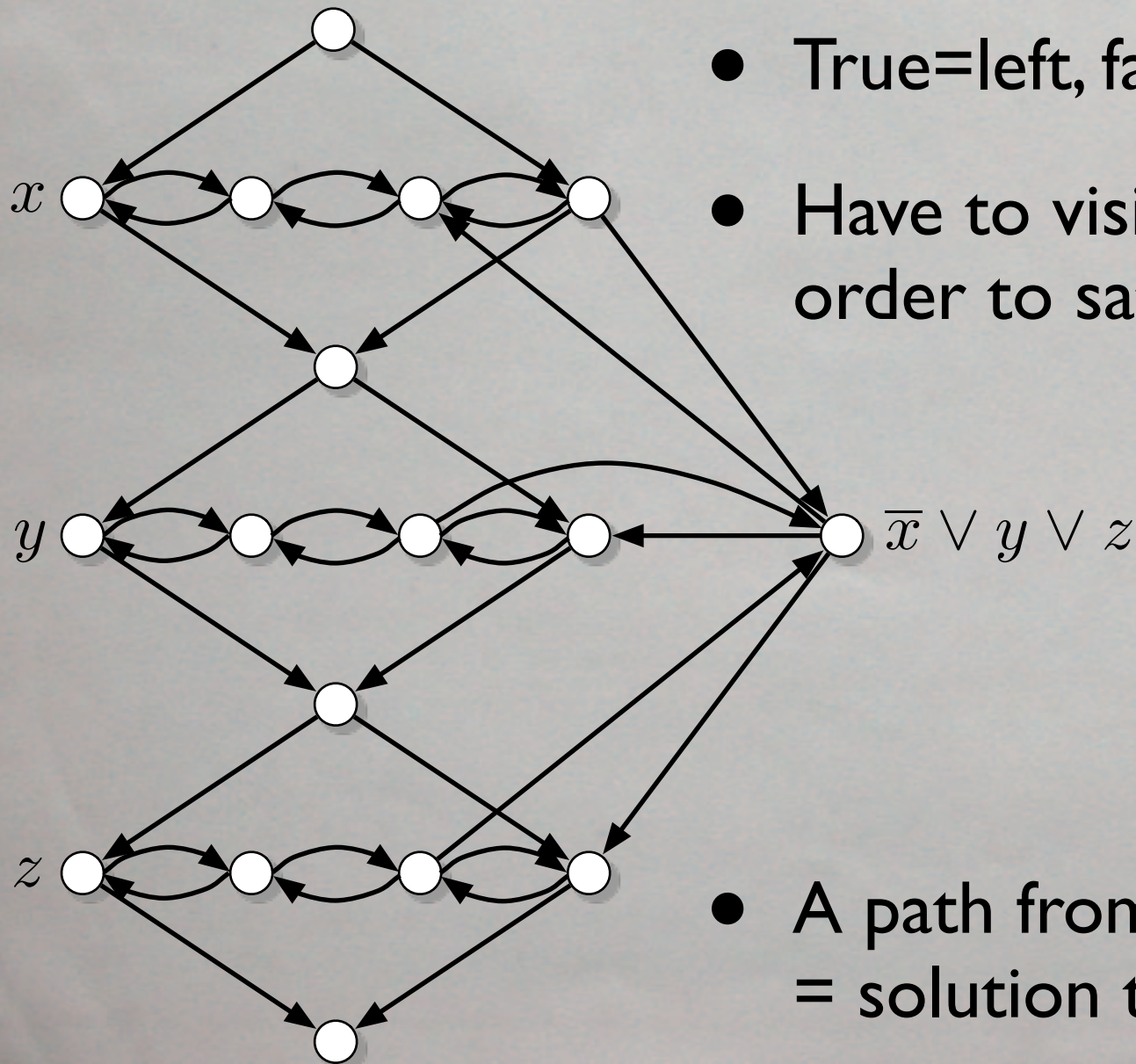
- “Gadgets” enforce constraints:



- Graph 3-Coloring is NP-complete
- Graph 2-Coloring is in **P** (why?)

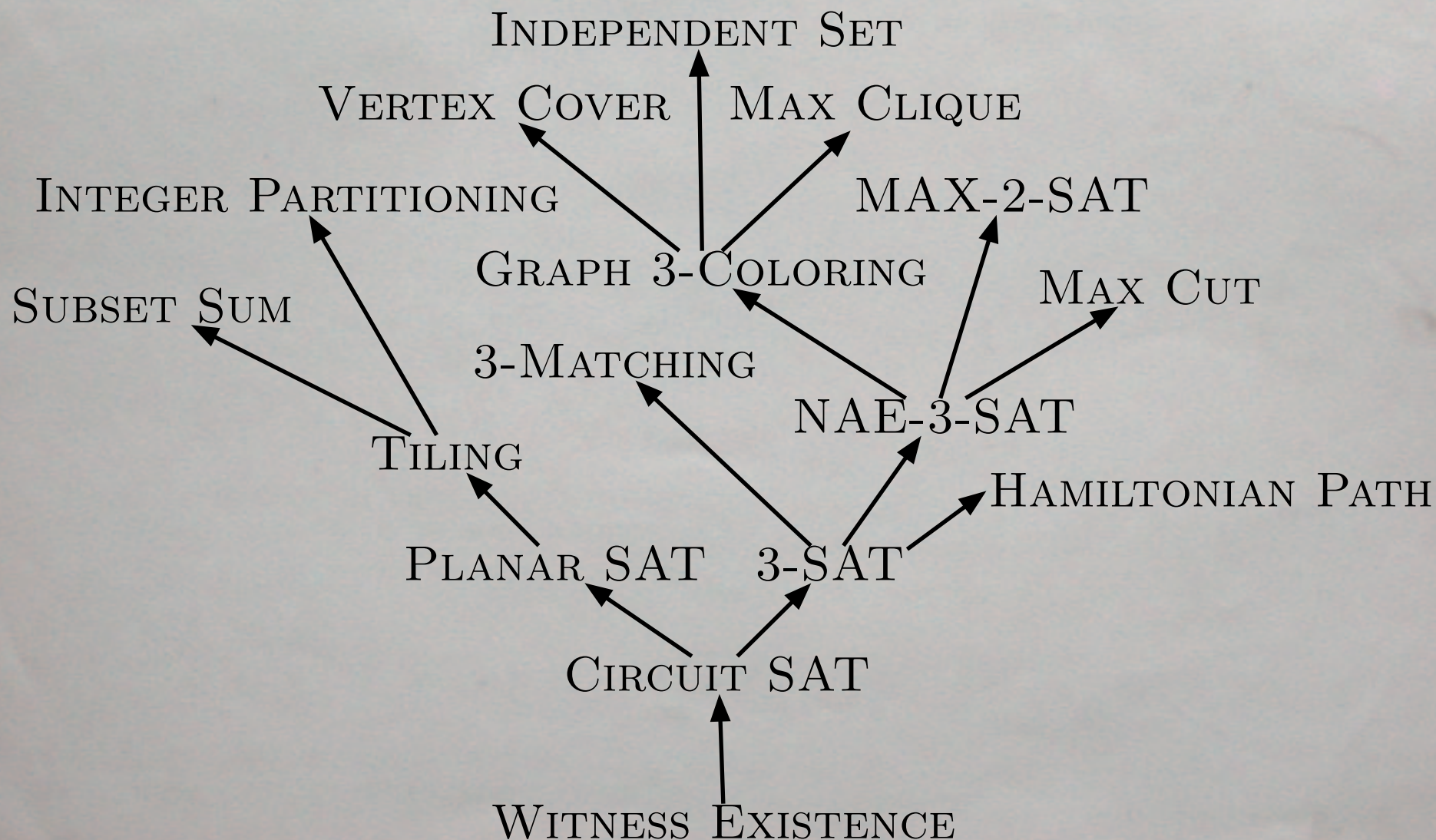


# Traveling Salespeople



- True=left, false=right
- Have to visit clause vertices in order to satisfy them
- A path from top to bottom = solution to 3-SAT problem!

# And so on...





# Deep Questions

- Is finding solutions harder than checking them? When can we avoid exhaustive search?
- What happens if we only need good answers, instead of the best ones? Are there problems where even finding good answers is hard?
- How much does it help to do many things at once (parallelism)?
- How much does randomness help? Can we foil the adversary by being unpredictable?
- How much does quantum physics help?





**Clay Mathematics Institute**

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How to make \$1,000,000  
(and maybe \$7,000,000)



# Millennium Problems

- **P=NP?**
- Poincaré Conjecture
- Riemann Hypothesis
- Yang-Mills Theory
- Navier-Stokes Equations
- Birch and Swinnerton-Dyer Conjecture
- Hodge Conjecture



# Millennium Problems

- **P=NP?**



# Millennium Problems

- **P=NP?**
- Is it harder to find solutions than to check them?
- Question about the *nature of mathematical truth*
- ...and whether finding it requires as much creativity as we think.



# What if $P=NP$ ?

- Better Traveling Salesmen, can pack luggage
- No Cryptography
- The entire *polynomial hierarchy* collapses,  $NEXP=EXP$ , etc.
- We can find (up to any reasonable length)
  - Proofs
  - Theories
  - *Anything* we can recognize.

# Gödel to Von Neumann

Let  $\varphi(n)$  be the time it takes to decide whether a proof of length  $n$  exists. Gödel writes:

The question is, how fast does  $\varphi(n)$  grow for an optimal machine. If there actually were a machine with, say,  $\varphi(n) \sim n^2$ , this would have consequences of the greatest magnitude. That is to say, it would clearly indicate that, despite the unsolvability of the *Entscheidungsproblem*, **the mental effort of the mathematician in the case of yes-or-no questions could be completely replaced by machines**. One would simply have to select an  $n$  large enough that, if the machine yields no result, there would then be no reason to think further about the problem.

$P \neq NP$   $P \neq NP$  Wie wird er stattdigntatet rank

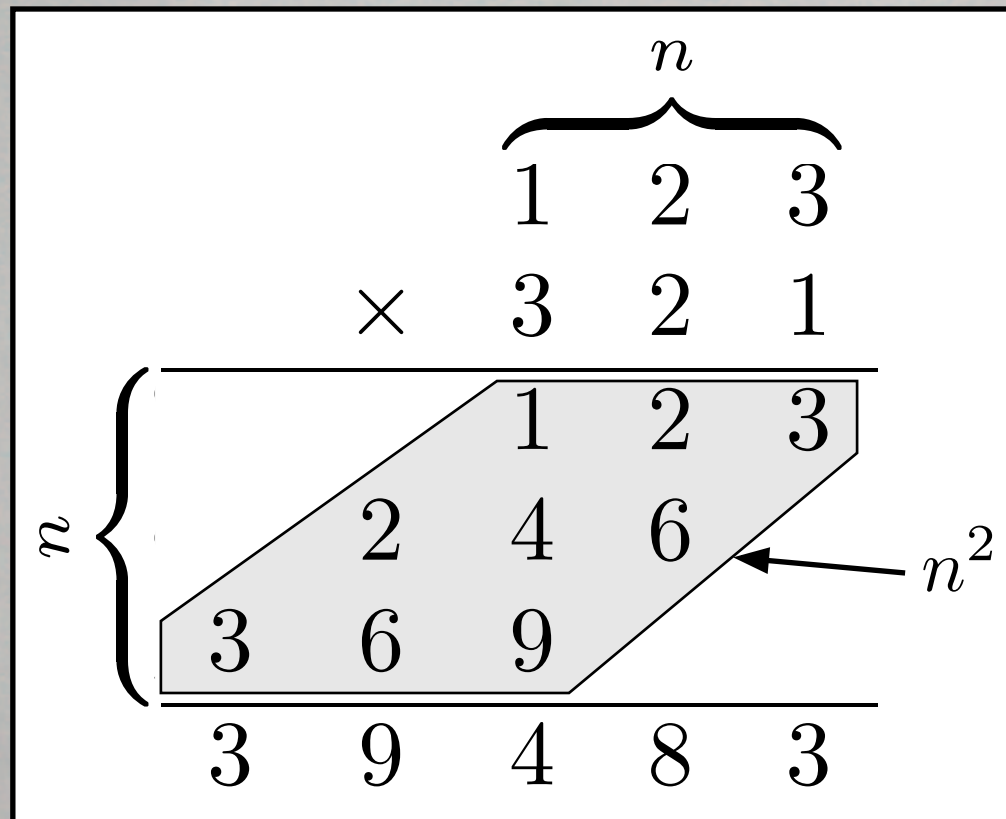


# Upper Bounds are Easy; Lower Bounds are Hard

- Why is the P vs. NP question so hard?
- Algorithms are *upper bounds* on complexity...
- ...but how do you know if you have the best algorithm?

# Algorithmic Surprises

- Grade school multiplication takes  $O(n^2)$  time:



- Can we do better?



# Algorithmic Surprises

- Divide and conquer:

$$x = 2^{n/2}a + b, \quad y = 2^{n/2}c + d$$

$$xy = 2^n ac + 2^{n/2}(ad + bc) + bd$$

Looks like we need  $ac, ad, bc, bd$ . But

$$(a + b)(c + d) - ac - bd = ad + bc$$

so we only need three products. Running time:

$$T(n) \approx 3T(n/2)$$

so  $T(n) \sim n^{\log_2 3} = n^{1.58}$ . How low can we go?

# The Undecidable

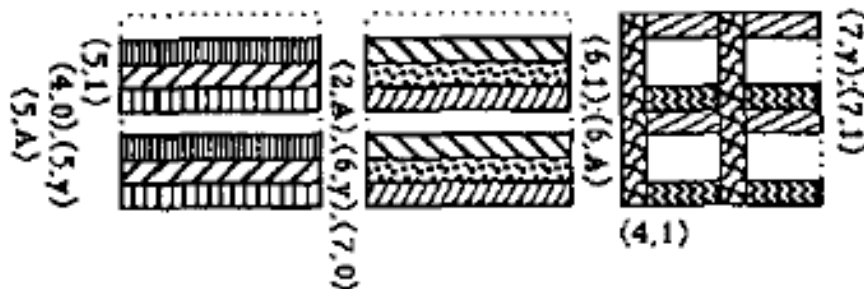
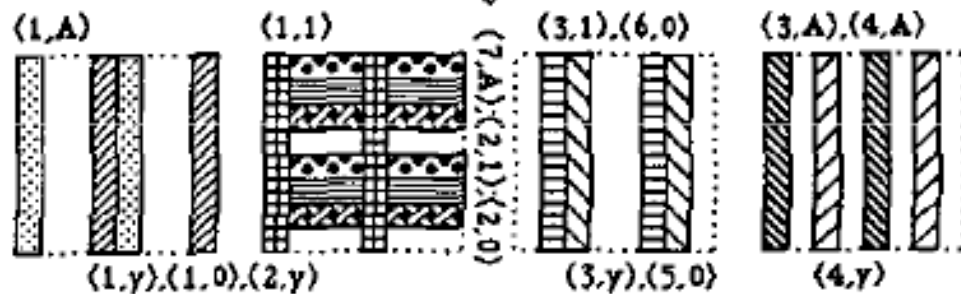
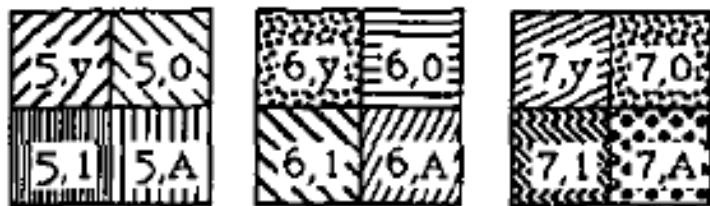
- Suppose we could tell whether a program  $p$ , given an input  $x$ , will ever halt.

```
trouble(p):  
    if halt(p,p) loop forever  
    else halt
```

- Will `trouble(trouble)` halt or not?
- Undecidable problems  $\Rightarrow$  unprovable truths!



# Worse Than Chaos



**HALTS**  
(3,0)

- Will an initial point  $x$  ever halt?
- Is  $x$  periodic?
- Undecidable, even if initial conditions are known exactly!

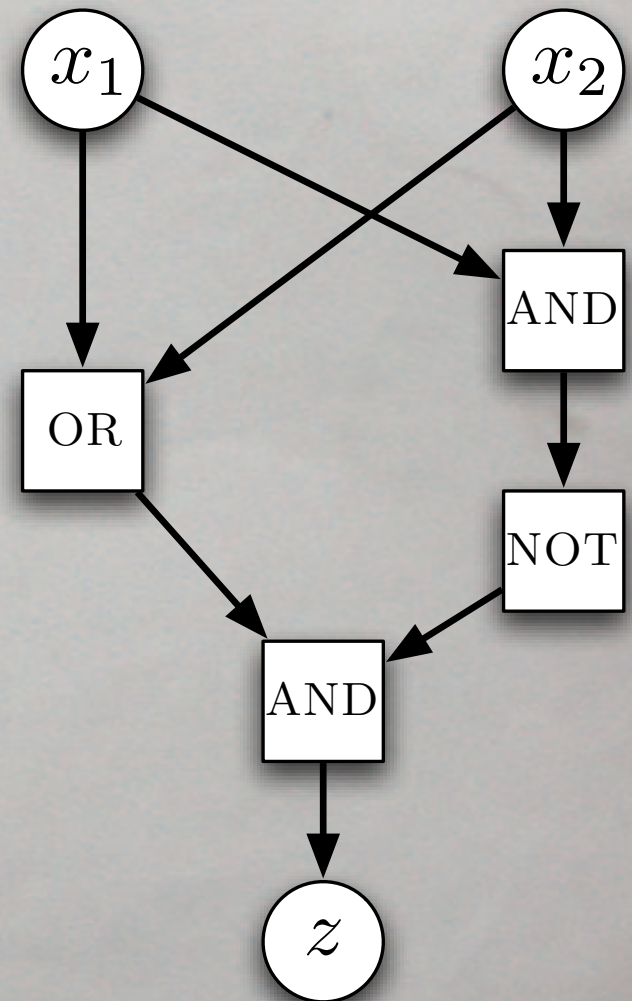
# Parallelization

- What if we can do many things at once?
- **NC** is the subset of **P** consisting of problems that can be *efficiently parallelized*:
- With  $\text{poly}(n)$  processors, we can solve problems in *polylogarithmic* time:  $(\log n)^k$  for some constant  $k$
- Example: matrix multiplication



# Deep vs. Shallow

- Parallel computers are like circuits: time = depth, #processors = width
- The **P** vs. **NC** problem: can every circuit be compressed to depth  $(\log n)^k$  and poly width?
- We believe that **P-complete** problems are “inherently sequential,” so that  $\text{NC} \neq \text{P}$



# Randomness

- *Randomized* algorithms: use random numbers, and guarantee the right answer with probability  $2/3$
- **BPP** (**B**ounded **P**robability **P**olynomial time) is the class of problems that can be solved this way
- The **P** vs. **BPP** problem: are there deterministic pseudorandom number generators that are “good enough”?
- We think so, but this is hard to prove...



# Beyond NP

- Does Black have a winning strategy?  
If so, how could we prove it?

- Black has a move such that,  
no matter what White does,  
Black has a move such that...

- Deep logical structure!

$$\forall x: \exists y: \forall z: \exists w: \dots$$

- But even  $P \neq PSPACE$  isn't known.





# Shameless Plug

*The Nature of Computation*



Mertens and Moore