

1. (DFA  $\rightarrow$  regular expression)

Throughout this solution, we shall use the shorthand  $R^+$  for  $RR^*$ , where  $R$  is an arbitrary regular expression.

$$\begin{aligned} 1.1. \quad R_{11}^0 &= \varepsilon \cup a \\ R_{12}^0 &= b \\ R_{21}^0 &= b \\ R_{22}^0 &= \varepsilon \cup a \end{aligned}$$

$$\begin{aligned} R_{11}^1 &= R_{11}^0 \cup R_{11}^0 (R_{11}^0)^* R_{11}^0 = (\varepsilon \cup a)^+ = a^* \\ R_{12}^1 &= R_{12}^0 \cup R_{11}^0 (R_{11}^0)^* R_{12}^0 = b \cup (\varepsilon \cup a)^+ b = a^* b \\ R_{21}^1 &= R_{21}^0 \cup R_{21}^0 (R_{11}^0)^* R_{11}^0 = b \cup b(\varepsilon \cup a)^+ = ba^* \\ R_{22}^1 &= R_{22}^0 \cup R_{21}^0 (R_{11}^0)^* R_{12}^0 = (\varepsilon \cup a) \cup b(\varepsilon \cup a)^+ b = \varepsilon \cup a \cup ba^* b \end{aligned}$$

$$\begin{aligned} R_{12}^2 &= R_{12}^1 \cup R_{12}^1 (R_{22}^1)^* R_{22}^1 = a^* b \cup a^* b(\varepsilon \cup a \cup ba^* b)^+ = a^* b(a \cup ba^* b)^* \\ &\Rightarrow L = R_{12}^2 = a^* b(a \cup ba^* b)^* \end{aligned}$$

$$\begin{aligned} 1.2. \quad R_{11}^0 &= \varepsilon \\ R_{12}^0 &= a \cup b \\ R_{13}^0 &= \phi \\ R_{21}^0 &= \phi \\ R_{22}^0 &= \varepsilon \cup a \\ R_{23}^0 &= b \\ R_{31}^0 &= a \\ R_{32}^0 &= b \\ R_{33}^0 &= \varepsilon \end{aligned}$$

$$\begin{aligned} R_{11}^1 &= \varepsilon \\ R_{12}^1 &= a \cup b \\ R_{13}^1 &= \phi \\ R_{21}^1 &= \phi \\ R_{22}^1 &= \varepsilon \cup a \\ R_{23}^1 &= b \\ R_{31}^1 &= a \\ R_{32}^1 &= b \cup a(a \cup b) = b \cup aa \cup ab \\ R_{33}^1 &= \varepsilon \end{aligned}$$

$$\begin{aligned} R_{11}^2 &= \varepsilon \\ R_{12}^2 &= a \cup b \cup (a \cup b)a^* = a^+ \cup ba^* \\ R_{13}^2 &= (a \cup b)a^*b = a^+b \cup ba^*b \\ R_{21}^2 &= \phi \\ R_{22}^2 &= a^* \\ R_{23}^2 &= b \cup (\varepsilon \cup a)a^*b = a^*b \\ R_{31}^2 &= a \\ R_{32}^2 &= (b \cup aa \cup ab) \cup (b \cup aa \cup ab)a^*(\varepsilon \cup a) = ba^* \cup aa^+ \cup aba^* \\ R_{33}^2 &= \varepsilon \cup (b \cup aa \cup ab)a^*b = \varepsilon \cup ba^*b \cup aa^+b \cup aba^*b \end{aligned}$$

$$\begin{aligned} R_{11}^3 &= \varepsilon \cup (a^+b \cup ba^*b)(ba^*b \cup aa^+b \cup aba^*b)^*a \\ R_{13}^3 &= (a^+b \cup ba^*b)(ba^*b \cup aa^+b \cup aba^*b)^* \end{aligned}$$

$$\Rightarrow L = R_{11}^3 \cup R_{13}^3$$

## 2. (True or false)

- 2.1. False. For a counterexample, let  $L$  be any non-regular language such as  $\{0^n 1^n : n \geq 0\}$ . Since  $L$  is non-regular,  $\overline{L}$  (the complement of  $L$ ) is also nonregular. Yet,  $L \cup \overline{L} = \Sigma^*$  is regular.
- 2.2. False. For a counterexample, let  $L$  be any non-regular language such as  $\{0^n 1^n : n \geq 0\}$ . Since  $L$  is non-regular,  $\overline{L}$  (the complement of  $L$ ) is also nonregular. Yet,  $L \cap \overline{L} = \Phi$  is regular.
- 2.3. True. We proved in class that if a language is regular, so is its complement. This is equivalent to the statement that if the complement of a language is regular, so is that language itself.
- 2.4. False. Any set can be written as a (possibly infinite) union of singleton sets containing its elements. In particular, any language  $L$  can be written as a union of finite, therefore regular, languages:  $L = \bigcup_{x \in L} \{x\}$ . More concretely, take our favorite nonregular language. We have  $\{0^n 1^n : n \geq 0\} = \bigcup_{n=0}^{\infty} \{0^n 1^n\}$ .
- 2.5. False. If this were true, then by De Morgan's Law the previous would also have to be true. For a concrete counterexample, let  $A_n = \{0^n 1^n\}$  for every  $n \geq 0$ . Then for every  $n$ ,  $\overline{A_n}$  is regular. Assume, to get a contradiction, that the statement is true. Then  $\bigcap_{n=0}^{\infty} \overline{A_n}$  is regular, so that  $\overline{\bigcap_{n=0}^{\infty} \overline{A_n}}$  is regular. But by De Morgan's Law, the latter is just  $\bigcup_{n=0}^{\infty} A_n$ , which we know to be nonregular, giving us our contradiction.

## 3. ( $L$ regular $\Rightarrow$ MAX( $L$ ) regular)

If  $M = (Q, \Sigma, \delta, q_0, F)$  is a DFA for  $L$ , then the intuition to construct a DFA  $M'$  for MAX( $L$ ) is as follows. If  $q_f$  is a final state of  $M$  and there is a non-empty string that drives  $M$  from  $q_f$  to a final state (possibly  $q_f$  itself), then  $q_f$  should not be a final state in  $M'$ . This ensures that  $M'$  does not accept a string in  $L$  if there is a way of extending it to be another string in  $L$ .

Formally, let  $M' = (Q, \Sigma, \delta, q_0, F')$ , where  $F' = \{q : q \in F \text{ and } \forall x \in \Sigma^+, \hat{\delta}(q, x) \notin F\}$ .

## 4. ( $L$ regular $\Rightarrow$ CYCLE( $L$ ) regular)

We observe that a string  $w$  is in CYCLE( $L$ ) if and only if there is a way to split  $w$  into two parts:  $x_1$  and  $x_2$ , such that there is a state  $q$  of  $L$ 's DFA  $M$  satisfying

1.  $\hat{\delta}(q, x_1) \in F$  and
2.  $\hat{\delta}(q_0, x_2) = q$ .

That is to say, a marble starting off in state  $q$  ends up in a final state of  $M$  upon consuming  $x_1$ , and a marble starting off in the initial state of  $M$  ends up in  $q$  upon consuming  $x_2$ . This suggests that the marble should keep track of three things: (1) the state of  $M$  where it started, (2) the state of  $M$  at which it currently is, and (3) whether it is consuming  $x_1$  or  $x_2$ . Accordingly, each state of the new NFA  $M'$  will be a 3-vector  $(p, q, i)$ , where  $p$  and  $q$  are states of  $M$ , and  $i \in \{1, 2\}$ .

Formally, if  $M = (Q, \Sigma, \delta, q_0, F)$  is a DFA for  $L$ , define a new NFA  $M' = (Q', \Sigma, \delta', q'_0, F')$ , where

$$\begin{aligned} Q' &= Q \times Q \times \{1, 2\} \cup \{q'_0\} \text{ where } q'_0 \notin Q \times Q \times \{1, 2\} \\ F' &= \{(q, q, 2) : q \in Q\} \\ \delta'(q'_0, \epsilon) &= \{(q, q, 1) : q \in Q\} \\ \delta'((p, q, 1), \epsilon) &= \{(p, q_0, 2)\} \text{ for every } q \in F \\ \delta'((p, q, i), a) &= \{(p, \delta(q, a), i)\} \text{ if } a \in \Sigma \end{aligned}$$

By the discussion above,  $M'$  recognizes CYCLE( $L$ ).

5. (Regular or not?)

- 5.1.  $L = \{0^m 1^n 0^{m+n} : m, n \geq 0\}$ .

Nonregular. Assume, to get a contradiction, that  $L$  is regular. Let  $s = 1^p 0^p$ , where  $p$  is the pumping length. Clearly,  $s \in L$  (for  $m = 0$  and  $n = p$ ) and  $|s| \geq p$ , so let  $s = xyz$  as specified by the Pumping Lemma. Since  $|xy| \leq p$ ,  $y$  must lie entirely within the sequence of 1's. Hence,  $xz = 1^{p-|y|} 0^p$  should belong to  $L$  by the Lemma, but it does not since  $p - |y| \neq p$ , giving us our contradiction.

- 5.2.  $L = \{0^m 1^n : m \text{ divides } n\}$ .

Nonregular. Assume, to get a contradiction, that  $L$  is regular. Let  $s = 0^p 1^p$ , where  $p$  is the pumping length. Again, let  $s = xyz$  as specified by the Pumping Lemma. Since  $|xy| \leq p$ ,  $y$  must lie entirely within the sequence of 0's. Hence,  $xy^2z = 0^{p+|y|} 1^p$  should belong to  $L$  by the Lemma, but does not since  $p + |y| > p$  so it certainly does not divide  $p$ , giving us our contradiction.

- 5.3.  $L = \{xwx^R : x, w \in \{0, 1\}^* \text{ and } |x|, |w| > 0\}$ .

Regular. Careful observation will reveal that a string is in  $L$  if and only if it starts and ends with the same symbol and is of length at least three.  $L$  is therefore captured by the regular expression  $0(0 \cup 1)^+ 0 \cup 1(0 \cup 1)^+ 1$ .

- 5.4.  $L = \{0^{2^n} : n \geq 0\}$ .

Nonregular. Assume, to get a contradiction, that  $L$  is regular. Let  $s = 0^{2^p}$ , where  $p$  is the pumping length. Let  $s = xyz$  as specified by the Pumping Lemma. Then by the Lemma,  $xy^2z \in L$ . However, clearly  $|xy^2z| > |xyz| = 2^p$ , yet  $|xy^2z| < 2^{p+1}$  since  $|y| \leq |xy| \leq p < 2^p$ , so  $xy^2z \notin L$ , giving us our contradiction.

- 5.5.  $L = \{w \in \Sigma_2^* : \text{the bottom row of } w \text{ is the reverse of the top row of } w\}$ .

Nonregular. Assume, to get a contradiction, that  $L$  is regular. Let  $s = \begin{bmatrix} 0 \\ 0 \end{bmatrix}^p \begin{bmatrix} 1 \\ 1 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \end{bmatrix}^p$ , where  $p$  is the pumping length. Let  $s = xyz$  as specified by the Pumping Lemma. Since  $|xy| < p$ ,  $y$  lies entirely in the first sequence of  $\begin{bmatrix} 0 \\ 0 \end{bmatrix}$ 's. Hence,  $xz = \begin{bmatrix} 0 \\ 0 \end{bmatrix}^{p-|y|} \begin{bmatrix} 1 \\ 1 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \end{bmatrix}^p$  does not belong to  $L$ , contradicting the Lemma.

- 5.6.  $L = \{0^m 1^n : m, n \geq 0 \text{ and } m \neq n\}$ .

Nonregular. Assume, to get a contradiction, that  $L$  is regular. Let  $R$  denote the language captured by the regular expression  $0^* 1^*$ . Then  $L \cup \overline{R}$ , and therefore  $\overline{L \cup \overline{R}}$ , must be regular since the set of all regular languages is closed under union and complementation. But the latter expression is precisely the language  $\{0^n 1^n : n \geq 0\}$ , which we know to be nonregular, giving us our contradiction.