

# Lecture 16

Friday, May 12, 2017 9:42 AM

## The Sparsest Cut Problem

Input :-  
• Undirected  $G = (V, E)$   
• Costs  $c_e$  on edges

Output :-  $S \subseteq V$

Objective :- Minimize  $\frac{c(S)}{|S| \cdot |S^c|}$

**RATIO**

## LP-Relaxation

As last time, we have "distance" variables where in the "integral" soln,  $d(u, v) = 1$  if  $u, v$  are in sep. sides of the cut & 0 o/w

$$\therefore \text{OPT} \geq \min \frac{\sum_{e \in E} c(e) d(e)}{\sum_{u, v \in V \times V} d(u, v)}$$

" $d$  satisfies  $\Delta$ -ineq"

note this is precisely  $|S| \cdot |S^c|$  if  $d(u, v) = 1$   $\forall$  pairs  $\{u, v\} \cap S = \emptyset$

Ratio  $\rightsquigarrow$  Constraint

$$\text{OPT} \geq \min \sum_{e \in E} c(e) d(e)$$

$$\bullet \sum_{u, v \in V \times V} d_{uv} = 1$$

•  $d$  satisfies metric constraints.

## ROUNDING - Try 1

- Solve LP
- Pick a node  $s$  (arbitrarily for now; we will choose appropriately later)
- Let  $R := \max_r d(s, r)$
- Sample  $\rho \in (0, R]$  u.a.r.
- $S := \{u \mid d(s, u) \leq \rho\}$

As last time,

$$\mathbb{E}[c(\partial S)] = \frac{1}{\rho} \cdot \text{LP}$$

But this time we "also have a denominator".

Ideally, we would've liked to analyze

$$\mathbb{E}\left[\frac{c(\partial S)}{|S| \cdot |\bar{S}|}\right] \dots \textcircled{*}$$

This is a rather unwieldy object. Instead let's look at

$$\frac{\mathbb{E}[c(\partial S)]}{\mathbb{E}[|S| \cdot |\bar{S}|]} \dots \textcircled{**}$$

Note these two expressions are NOT the same!

Why is  $\textcircled{**}$  interesting?

" if we show  $\frac{\mathbb{E}[c(\partial S)]}{\mathbb{E}[|S| \cdot |\bar{S}|]} \leq \alpha \cdot \text{LP}$

$$\mathbb{E}[|S| \cdot |\bar{S}|]$$

then, by moving terms & LINEARITY OF EXPECTATION,

$$\mathbb{E}\left[c(\partial S) - \alpha \cdot LP \cdot |S| \cdot |\bar{S}| \right] \leq 0$$

⇒ One of the possible cuts that our algorithm returns satisfies

$$c(\partial S^*) \leq \alpha \cdot LP \cdot |S^*| \cdot |\bar{S}^*|$$

$$\Rightarrow \text{sparsity}(S^*) \leq \alpha \cdot LP$$

Why would we be able to get our hands on  $S^*$ ?  
∵ Only a  $O(m)$  different  $\rho$ 's are "interesting"  
& we can deterministically enumerate.

∴ It suffices to upper bound  $\frac{\mathbb{E}[c(\partial S)]}{\mathbb{E}[|S| \cdot |\bar{S}|]}$

- The numerator we already know to be LP/R
- The denominator is again a bit problematic since

$|S|$  &  $|\bar{S}|$  are not independent (in fact they sum to  $n$ )

∴ For now we use a trivial LOWER BOUND on  $|S|$ , i.e.,  $|S| \geq 1$

$$\therefore \mathbb{E}[|S| \cdot |\bar{S}|] \geq \mathbb{E}[|\bar{S}|] \dots \textcircled{\#}$$

The  $E[|\bar{S}|]$  we can calculate.

$$E[|\bar{S}|] = \frac{1}{R} \sum_S (\# \text{ of vertices } u \text{ st. } d(s,u) > p)$$

this really  
should be an  
integration.

$$= \frac{1}{R} \sum_S \sum_{v: d(s,v) > p} 1 = \frac{1}{R} \sum_{s \in V} \sum_{p \leq d(s,v)} 1$$

$$= \frac{1}{R} \cdot \sum_{s \in V} d(s,v)$$

- What do we know about  $d$ 's?

$$\sum_{u,v} d(u,v) = 1$$

$$\therefore \exists s \text{ st } \sum_v d(s,v) \geq \frac{1}{n}$$

$\therefore$  If our algorithm starts from this  $s \dots$

$$E[|\bar{S}|] \geq \frac{1}{nR}$$

$$\therefore \frac{E[c(\alpha)]}{\dots} \leq n \cdot LP$$

$$\frac{L}{\mathbb{E}[|S| \cdot |\bar{S}|]} \leq n \cdot LP$$

$\Rightarrow$  An  $n$ -factor algorithm ..... 

## Try 2

- The algorithm started cutting around  $s$ , a singleton, &  $\therefore |S| \geq 1$  was the only thing we could use.

- Modification:

- Let  $T \subseteq V$  s.t.  $|T| \approx \Theta(n)$ ; say  $|T| \geq n/3$

-  $R := \max_v \underbrace{d(T, v)}_{\min_{u \in T} d(u, v)}$ ;  $\rho \in_{\mathbb{R}} (0, R]$

-  $S := \{u \mid d(T, u) \leq \rho\}$

Once again :-  $\mathbb{E}[\text{cost}(\rho S)] \leq \frac{1}{R} \cdot LP$

-  $|S| \geq |T| \geq n/3 \quad \therefore T \subseteq S$

- What about  $\mathbb{E}[|\bar{S}|]$ ?

As before,

$$\begin{aligned} \mathbb{E}[|\bar{S}|] &= \frac{1}{R} \sum_{\rho} (\# \text{ of } u\text{'s st } d(T, u) \geq \rho) \\ &= \frac{1}{R} \sum_{\rho} \sum_{u: d(T, u) > \rho} 1 \end{aligned}$$

$$= \frac{1}{R} \sum_s \sum_{u: d(T,u) \geq s} 1$$

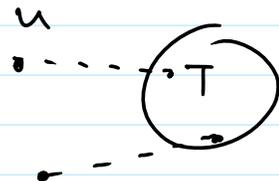
$$= \frac{1}{R} \sum_u \sum_{s: s \leq d(T,u)} 1$$

$$= \frac{1}{R} \sum_u d(T,u)$$



When is this "large"?  $\rightarrow \gg \Omega(1/n)$ ?

$$- 1 = \sum_{u,v} d(u,v)$$



$$\leq \sum_{(u,v)} (d(T,u) + \text{diam}(T) + d(T,v))$$

$$= \binom{n}{2} \cdot \text{diam}(T) + 2n \cdot \sum_u d(T,u)$$

$$\Rightarrow \text{If } \text{diam}(T) \leq \frac{1}{2n^2}, \sum_u d(T,u) \geq \frac{1}{4n}$$

Indeed, if such a  $T$  exists, then

from  we get  $E[|\bar{S}|] \geq \frac{1}{4nR}$

$$\Rightarrow E[|\bar{S}| \cdot |\bar{S}|] \geq \Omega(1)$$

$$\Rightarrow \mathbb{E}[|S| \cdot |\bar{S}|] \geq \Omega\left(\frac{1}{R}\right)$$

$$\Rightarrow \frac{\mathbb{E}[\text{cost}(\delta S)]}{\mathbb{E}[|S| \cdot |\bar{S}|]} = O(LP)$$

$\rightarrow$  An  $O(1)$ -approx for sparsest cut.

To conclude, we would be happy if there was

a  $T \subseteq V$  s.t. (a)  $|T| = \Omega(n)$

& (b)  $\text{diam}(T)$  w.r.t.  $d$  is  $\leq \frac{1}{2n^2}$

Low-diameter Decomposition Lemma

(Leighton-Rao '1988)

Given any undirected  $G = (V, E)$  with costs  $c(e)$  on edges and distances  $d: V \times V \rightarrow \mathbb{R}_{\geq 0}$  s.t.

$$L = \sum_e c(e) d(e) \quad \& \quad \sum_{u,v} d_{uv} = 1,$$

and given any  $R > 0$ , there exists a partition

$\{S_1, S_2, \dots, S_k\} \subseteq V$  s.t.

(1)  $\text{diam}(S_i) \leq R$

(2) Total cost of cross-edges, i.e. edges with endpoints in diff.  $S_i$ 's

$$, \text{ is } \leq O\left(\frac{\log n}{R}\right) \cdot L$$