

Iterated Rounding for Hypergraph Matching¹

- In this lecture, we look at two simple applications of relaxation and rounding for *packing* problems. In these problems, we wish to *maximize* a linear function. We will use the fact that LP optima are basic feasible solutions, and how this helps in approximation algorithm design.
- **Hypergraph Matching.** A hypergraph $H = (V, E)$ is a generalization of graphs where every (hyper)edge $e \in E$ is an arbitrary subset of the vertices instead of a pair. A k -uniform hypergraph is one where $|e| = k$ for all $e \in E$. In the hypergraph matching problem, we need to find a collection $M \subseteq E$ such that for any two $e, e' \in M$ we have $e \cap e' = \emptyset$, and $|M|$ is as large as possible. We will focus on solving the problem on 3-uniform hypergraphs.
- A Simple $\frac{1}{3}$ -approximation. We begin with a simple algorithm whose structure we will borrow for our final algorithm. Before stating it, let us make a useful definition: given an edge $e \in E$, let $N(e)$ denote all edges which intersect e . That is, $N(e) := \{f : f \cap e \neq \emptyset\}$. Here is the algorithm.

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1: procedure MAXIMAL HYPERGRAPH MATCHING(3-uniform  $H = (V, E)$ ):  
2:    $M \leftarrow \emptyset; F \leftarrow E$ .  
3:   while  $F \neq \emptyset$  do:  
4:     Pick an arbitrary edge  $e \in F$  and add it to  $M$ .  
5:     Remove all edges in  $N(e)$  from  $F$ .  
6:   return  $M$ .
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Theorem 1. MAXIMAL HYPERGRAPH MATCHING is a $\frac{1}{3}$ -approximation.

Proof. Suppose M^* is the largest cardinality matching. Let us consider two variables o and a . Initially $o \leftarrow \text{opt} = |M^*|$ and $a \leftarrow 0$. At every run of the while loop we increment $a \leftarrow a + 1$ to indicate how many edges are added to M . We also decrement o by the number of edges of M^* removed in **Line 5**. The crucial observation is that this number is *at most* 3. Why? Let $e = (u, v, w)$ be the edge added to M . In **Line 5**, we remove all edges in F incident to u, v , and w . Since M^* is a matching, there can be at most one edge $f_1 \in M^*$ containing u , at most one edge $f_2 \in M^*$ containing v , and at most one edge $f_3 \in M^*$ containing w . Thus, opt decrements by at most 3.

At the termination of the while loop, we have $a = \text{alg}$ indicating the number of while loops. We also have that the value of o at the end is at least $\text{opt} - 3\text{alg}$. But the value of o at the end *must* be 0 since there are no more edges left at the end. So, $\text{opt} - 3\text{alg} \leq 0$ implying $\text{alg} \geq \text{opt}/3$. \square

¹Lecture notes by Deeparnab Chakrabarty. Last modified : 2nd Jan, 2022
These have not gone through scrutiny and may contain errors. If you find any, or have any other comments, please email me at deeparnab@dartmouth.edu. Highly appreciated!

Exercise: 🍷 Suppose the hyperedges have weights $w(e)$ and the goal was to pick the largest weight matching. Modify the above algorithm and analysis to describe a $\frac{1}{3}$ -algorithm for the same.

- *LP Relaxation.* One can write the following natural LP relaxation for the 3-hypergraph matching problem.

$$\begin{aligned} \text{lp}(H) := \text{maximize} \quad & \sum_{e \in E} x_e & (1) \\ & \sum_{e: v \in e} x_e \leq 1, & \forall v \in V \\ & x_e \geq 0, & \forall e \in E \end{aligned}$$

We can solve this LP and obtain a solution x_e for all $e \in E$. How can we use it to get a large matching? Which edge e should we pick in the matching? Note that once we pick e , we must delete all edges in $N(e)$ in the subsequent rounds. The total “fractional mass” lost, therefore, is $x(N(e)) := \sum_{f \in N(e)} x_f$. Thus, one idea is to pick an edge e with the “smallest” $x(N(e))$.

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1: procedure LP-BASED HYPERGRAPH MATCHING(3-uniform  $H = (V, E)$ ):
2:    $M \leftarrow \emptyset; F \leftarrow E$ .
3:   while  $F \neq \emptyset$  do:
4:     Solve (1) on the residual hypergraph  $(V, F)$  to get an optimum bfs  $x$ .
5:     If there is an edge  $e$  with  $x_e = 0$ , remove it from  $F$  and break.
6:     Pick an edge  $e \in F$  with smallest  $x(N(e))$  and add it to  $M$ .
7:     Remove all edges from  $N(e)$  from  $F$ .
8:   return  $M$ .

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Theorem 2. The matching returned by the above algorithm is a $\frac{3}{7}$ -approximation.

Proof. The proof follows the same structure as that of [Theorem 1](#). We initialize the two variable o and a . If we run [Line 5](#), then we keep the variables unchanged. Otherwise, we increment a by 1 in each iteration, and thus at the end $a = \text{alg}$. We initialize o with $\text{lp} := \text{lp}(H)$. In every iteration, if D is the set of edges removed in [Line 7](#), then we decrement o by $x(N(e))$ where x is the solution to the LP obtained in [Line 4](#). The heart of the analysis lies in the following claim.

Claim 1. At the beginning of Step [Line 6](#), there exists an edge $e \in F$ with $x(N(e)) \leq \frac{7}{3}$.

We prove the above claim in the next bullet point. Right now, note that the above suffices to prove [Theorem 2](#). Indeed, let lp_i be the value of the LP just before the i th iteration and let $\mathbf{x}^{(i)}$ be an optimal solution. If e is the edge picked in this iteration, then note that \mathbf{x}' which just zeroes out $\mathbf{x}^{(i)}$ at $N(e)$ is a valid solution before the $i + 1$ th iteration. Thus, $\text{lp}_{i+1} \geq \text{lp}_i - x(N(e)) \geq \text{lp}_i - \frac{7}{3}$. On the other hand, the LP-value at the end of the algorithm must be 0 since all edges are deleted. Therefore, if the algorithm runs for alg rounds, we have $0 \geq \text{lp} - \frac{7 \cdot \text{alg}}{3}$, proving the theorem. \square

- *Proof of Claim 1.* The proof of the claim will use the fact that x was a basic feasible solution. Recall that a basic feasible solution satisfies dimension-many linearly independent inequalities as equality. In our case, in [Line 4](#), the solution x satisfies $|F|$ many linearly independent inequalities. Since the claim is about the case when we reach [Line 6](#), none of these $|F|$ inequalities are of the form “ $x_e \geq 0$ ”. Therefore, there must exist $\geq |F|$ vertices v with $\sum_{e:v \in e} x_e = 1$.

Indeed, let T be the subset of *tight* vertices as described above; so $|T| \geq |F|$. For $v \in T$, let $\deg(v)$ denote the number of edges of F incident on v . Now note that

$$\sum_{v \in T} \deg(v) \stackrel{\text{hypergraph handshake}}{=} \sum_{e \in F} |e \cap T| \leq 3|F|$$

Since $|T| \geq |F|$, we can assert that there must exist some $v \in T$ with $\deg(v) \leq 3$.

Now consider the edge $e^* \in F$ with $v \in e^*$ and $x(e^*)$ largest among all such edges. We claim that this edge e suffices for the claim. Indeed, first note that

$$1 \stackrel{\text{hypergraph handshake}}{=} \sum_{e:v \in e} x(e) \leq x(e^*) \cdot \deg(v) \leq 3x(e^*) \Rightarrow x(e^*) \geq \frac{1}{3}$$

Now suppose $e^* = (v, w, z)$. Note that

$$x(N(e^*)) \leq \sum_{f:v \in f} x_f + \sum_{f:w \in f} x_f + \sum_{f:z \in f} x_f - 2x(e^*) \leq 3 - \frac{2}{3} = \frac{7}{3}$$

where we subtracted $2x(e^*)$ because of double-counting. Indeed, there could be many edges which could be double counted, and that is why we have an inequality. This completes the proof of the claim.

Remark: *The above rounding algorithm is an **iterative rounding** algorithm. As stated above the algorithm seems inefficient as it solves an LP in [Line 4](#). In reality, [Line 4](#) and [Line 5](#) can be taken outside the while loop. The reason is that after a run of the while loop, the “residual solution” is also a basic feasible solution to a slightly modified LP. We leave the details from these notes.*

Exercise: 🍷🍷 Find a 3-uniform hypergraph H with $\text{lp}(H) = \frac{7}{3} \cdot \text{opt}(H)$ thus proving that the integrality gap of the LP(1) is exactly $\frac{7}{3}$.

Notes

It is easy to generalize the above to obtain a $(k - 1 + \frac{1}{k})^{-1}$ -approximation algorithm for k -uniform hypergraph matching, where every hyperedge has exactly k -vertices. This result was first proved in the paper [4] by Füredi, Kahn and Seymour. In fact, [4] proved a more general result for an arbitrary hypergraph : they proved that in any hypergraph one can find a matching M such that $\sum_{e \in M} (|e| - 1 + \frac{1}{|e|}) \geq \text{lp}$. The analysis above is from the paper [3] by Chan and Lau who also give an $(k - 1 + \frac{1}{k})^{-1}$ -approximation algorithm which works for the weighted case as well. We refer the reader to that paper for more details.

Füredi, Kahn, and Seymour [4] conjectured a weighted generalization of their theorem: they conjecture that with any weights $w(e)$ on edges, there exists a matching M such that $\sum_{e \in M} (|e| - 1 + \frac{1}{|e|}) w(e) \geq \text{lp}$, where lp now has $w(e)$ in the objective of (1). This conjecture is still open. Very recently, it was proved for hypergraphs with all $|e| \leq 3$ in the paper [2] by Bansal and Harris. See also the recent paper [1] by Anegg, Angelidakis, and Zenklusen for more on the FKS conjecture.

References

- [1] G. Anegg, H. Angelidakis, and R. Zenklusen. Simpler and stronger approaches for non-uniform hypergraph matching and the Füredi, Kahn, and Seymour conjecture. In *Symposium on Simplicity in Algorithms (SOSA)*, pages 196–203, 2021.
- [2] N. Bansal and D. G. Harris. Some remarks on hypergraph matching and the Füredi-Kahn-Seymour conjecture. *arXiv preprint arXiv:2011.07097*, 2020.
- [3] Y. H. Chan and L. C. Lau. On linear and semidefinite programming relaxations for hypergraph matching. *Math. Programming*, 135(1):123–148, 2012.
- [4] Z. Füredi, J. Kahn, and P. D. Seymour. On the fractional matching polytope of a hypergraph. *Combinatorica*, 13(2):167–180, 1993.